IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Von der Geest et al.

Examiner: Chanda L. Harris

Serial No.: 10/016,905

Group Art Unit: 3714

Filed: December 14, 2001

Confirmation No.: 9583

For:

Method and System for Developing Teaching and Leadership Characteristics

and Skills

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

DECLARATION OF NAMED INVENTORS UNDER 37 CFR 1.131

- 1. We, Michael Von der Geest, Katharine F. Nisbet, Russell Hobby, Rebecca H. Johnson, and Stephen Lams, the undersigned, are the five named true inventors of the subject matter disclosed in U.S. Patent Application Serial No. 10/016,905 (the "905 Application"), filed December 14, 2001, entitled "Method and System for Developing Teaching and Leadership Characteristics and Skills," which is assigned to Hay Acquisition Company I, Inc.
- 2. We submit this Declaration to the United State Patent Office under 37 C.F.R. 1.131 to swear behind U.S. Patent Application Publication No. 2003/0046265 A1 to Orton et al., which has a United States effective filing date of September 5, 2001 and which is the sole reference relied upon by the Examiner in the Official Action dated October 4, 2004 in rejecting pending Claims 1-4, 13, 17-18, 25-29, 31-38, 43, 45, 48-52, and 70-74.
- 3. All of the events outlined below occurred in the United Kingdom, which is a NAFTA and WTO country, on or after January 1, 1996.
- 4. The Hay TRANSFORMING LEARNING Product (hereinafter, the "TL Product") formed the basis for the '905 Application. The TL Product was released as a website (www.transforminglearning.com.uk) before September 5, 2001 as shown in the sample invoices

dated January 2001 and February 2001 for payment of subscription fees to Hay for the released Product included in Exhibit A and as also evidenced by the copyright notice of Screen Shot 1 of Exhibit B. Exhibit B includes screen shots generated from the version of the TL Product released in January 2001 using sample data. This same version was used in preparation of the '905 Application.

- 5. A copy of the informal drawings FIGS. 1-15D filed with the '905 Application are attached as Exhibit C. These informal drawings were developed from screen shots captured from the version of the TL Product released before September 5, 2001.
- 6. Prior to September 5, 2001, we reduced to practice our method of assisting in development of an environment, comprising the steps of: receiving with a computer processor unit evaluation data for said environment received from at least one individual participating in said environment, said evaluation data representing impressions of said individual regarding said environment; providing model data to an individual that is responsible at least in part for said environment, said model data representing one or more dimensions of said environment, said model data developed at least in part from said evaluation data, each of said one or more dimensions being associated with at least one characteristic of said individual responsible for said environment; receiving with a computer processor unit a selection of at least one of said one or more dimensions of said environment received from said individual responsible for said environment; and providing said individual responsible for said environment an action plan for improving at least one characteristic associated with said selected dimension.
- 7. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 7 of this Declaration further comprising the step of receiving evaluation data for said environment from said individual that is responsible at least in part for said environment, said evaluation data representing an impression of said individual regarding said environment.
- 8. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 6 of this Declaration wherein: said environment is a classroom teaching environment, said at least one individual participating in said environment

includes a first plurality of students, and said individual responsible for said environment is a classroom teacher.

- 9. Prior to September 5, 2001, we reduced to practice and embodiment of our assisting method described in Paragraph 8 of this Declaration further comprising the step of receiving-from said-classroom-teacher a designation of said first plurality of students participating in said classroom teaching environment, said first plurality of students being designated to provide said evaluation data.
- 10. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 6 of this Declaration wherein said evaluation data received from said at least one individual participating in said environment identify a perception of a current state of said environment and an indication of an ideal state of said environment.
- 11. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 7 of this Declaration wherein said environment is a school environment, said at least one individual participating in said environment includes a first plurality of employees within said school environment, and said individual responsible for said environment is a head teacher.
- 12. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 11 of this Declaration further comprising the step of receiving from said head teacher a designation of said first plurality of employees participating in said school environment, said first plurality of employees being designated to provide said evaluation data.
- 13. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 11 of this Declaration wherein said evaluation data received from said plurality of employees include first leadership style data, said first leadership style data identifying a perception by said plurality of employees of the use of a plurality of different leadership styles by said head teacher; and said evaluation data received from said head teacher include second leadership style data identifying a perception by said head teacher of the use of said plurality of different leadership styles by said head teacher.

- 14. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 13 of this Declaration further comprising the step of providing leadership model data, said leadership model data representing usage of said plurality of leadership styles by said head teacher, said leadership model data being developed at least in part from said first leadership style data and said second leadership style data.
- 15. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 13 of this Declaration wherein the step of receiving from said head teacher a selection of at least one of said one or more dimensions includes the step of receiving an identification of one or more leadership styles from said plurality of different leadership styles, said one or more leadership styles being associated with at least one of said one or more dimensions.
- 16. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 15 of this Declaration further comprising the step of providing said head teacher an action plan for improving said selected one or more leadership styles.
- 17. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 15 of this Declaration further comprising the step of providing said head teacher an action plan for improving at least one characteristic of said head teacher associated with said identified one or more leadership styles.
- assisting method as described in Paragraph 7 of this Declaration wherein: said evaluation data received from said at least one individual participating in said environment include first leadership style data, said first leadership style data identifying a perception of the use of a plurality of different leadership styles by said individual that is responsible for said environment; and said evaluation data received from said individual that is responsible at least in part for said environment include second leadership style data identifying a perception by said individual that is responsible at least in part for said environment of the use of said plurality of different leadership styles by said individual that is responsible for said environment.

- 19. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 18 of this Declaration further comprising the step of providing leadership model data, said leadership model data representing usage of a plurality of leadership styles by said individual that is responsible for said environment, said leadership model data being developed at least in part from said first leadership style data and said second leadership style data.
- 20. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 18 of this Declaration wherein the step of receiving from said individual responsible for said environment a selection of at least one of said one or more dimensions includes the step of receiving an identification of one or more leadership styles from said plurality of leadership styles, said one or more leadership styles being associated with said selected at least one of said one or more dimensions.
- 21. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 20 of this Declaration further comprising the step of providing said individual responsible for said environment an action plan for improving said selected one or more leadership styles.
- 22. Prior to September 5, 2001, we reduced to practice an embodiment of our assisting method as described in Paragraph 20 of this Declaration further comprising the step of providing said individual responsible for said environment an action plan for improving at least one characteristic of said individual responsible for said environment associated with said identified one or more leadership styles.
- 23. Prior to September 5, 2001, we reduced to practice a method of assisting in development of an environment comprising the steps of receiving with a computer processor unit evaluation data for said environment received from at least one individual participating in said environment, said evaluation data representing impressions of said individual regarding said environment; and providing model data to an individual that is responsible at least in part for said environment, said model data representing one or more dimensions of said environment, said model data developed at least in part from said evaluation data.

- 24. Prior to September 5, 2001, we reduced to practice an embodiment of the assisting method described in Paragraph 23 of this Declaration further comprising the step of receiving evaluation data for said environment from said individual that is responsible at least in part for said environment, said evaluation data representing an impression of said individual regarding said environment.
- 25. Prior to September 5, 2001, we reduced to practice an embodiment of the assisting method described in Paragraph 24 of this Declaration wherein said model data are developed at least in part from said evaluation data received from said individual that is responsible at least in part for said environment.
- 26. Prior to September 5, 2001, we reduced to practice an embodiment of the assisting method described in Paragraph 24 of this Declaration wherein: said evaluation data received from said at least one individual participating in said environment include first leadership style data, said first leadership style data identifying a perception of the use of a plurality of different leadership styles by said individual that is responsible for said environment; and said evaluation data received from said individual that is responsible at least in part for said environment include second leadership style data identifying a perception by said individual responsible at least in part for said environment of the use of said plurality of different leadership styles by said individual that is responsible for said environment.
- 27. Prior to September 5, 2001, we reduced to practice an embodiment of the assisting method described in Paragraph 26 of this Declaration further comprising the step of providing leadership model data, said leadership model data representing usage of a plurality of leadership styles by said individual that is responsible for said environment, said leadership model data being developed at least in part from said first leadership style data and said second leadership style data.
- 28. Prior to September 5, 2001, we reduced to practice our computer-readable medium and data signal embodied in a carrier wave encoded with computer program code for directing a processor to assist in the development of an environment, comprising: a first code segment for causing a processor to provide model data to an individual that is responsible at least in part for said environment, said model data representing one or more dimensions of said

environment, said model data developed at least in part from evaluation data, said evaluation data being received from at least one individual participating in said environment and representing impressions of said individual regarding said environment, each of said one or more dimensions being associated with at least one characteristic of an individual responsible for said environment; a second code segment for causing the processor to receive from said individual responsible for said environment a selection of at least one of said one or more dimensions; and a third code segment for causing the processor to provide said individual responsible for said environment an action plan for improving at least one characteristic associated with said selected dimension.

- 29. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 28 of this Declaration wherein said evaluation data further represent an impression regarding said environment of said individual that is responsible at least in part for said environment.
- 30. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 29 of this Declaration wherein: said environment is a classroom teaching environment, said at least one individual participating in said environment includes a first plurality of students, and said individual responsible for said environment is a classroom teacher.
- 31. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 28 of this Declaration wherein said evaluation data received from said at least one individual participating in said environment identify a perception of a current state of said environment and an indication of an ideal state of said environment.
- 32. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 29 of this Declaration wherein: said environment is a school environment, said at least one individual participating in said environment includes a first plurality of employees within said school environment, and said individual responsible for said environment is a head teacher.

PH1\1401458.1 7

- 33. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 32 of this Declaration wherein: said evaluation data received from said first plurality of employees include first leadership style data, said first leadership style data identifying a perception by said first plurality of employees of the use of a plurality of different leadership styles by said head teacher; and said evaluation data received from said head teacher include second leadership style data identifying a perception by said head teacher of the use of said plurality of different leadership styles by said head teacher.
- 34. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 33 of this Declaration further comprising a fourth code segment for causing said processor to provide leadership model data, said leadership model data representing usage of said plurality of leadership styles by said head teacher, said leadership model data being developed at least in part from said first leadership data and said second leadership style data.
- 35. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 33 of this Declaration wherein the second code segment includes a code segment for causing the processor to receive an identification of one or more leadership styles from said plurality of different leadership styles, said one or more leadership styles being associated with said selected at least one of said one or more dimensions.
- 36. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 35 of this Declaration further comprising a fourth code segment for causing the processor to provide said head teacher with an action plan for improving said selected one or more leadership styles.
- 37. Prior to September 5, 2001, we reduced to practice an embodiment of our computer-readable medium and data signal as described in Paragraph 35 of this Declaration further comprising a fourth code segment for causing the processor to provide said head teacher with an action plan for improving a characteristic of said head teacher associated with said identified one or more leadership styles.

- 38. The TL Product developed, reduced to practice and released prior to September 5, 2001 embodied our methods of assisting in the development of an environment, computer-readable medium encoded with computer program code for directing a processor to assist in the development of an environment and data signal embodied in a carrier wave encoded with computer program code for directing a processor to assist in the development of an environment detailed in Paragraphs 6-37 of this Declaration. The methods, mediums and data signals as claimed and embodied in the TL Product are evidenced by the following screen shots:
 - Exhibit B, Screen Shots 1 and 2 (showing a user name and password login pages of the TL Product that would be used by teachers and head teachers to access the functionality of the TL Product)
 - Exhibit B, Screen Shot 3 (showing main functional modules of the TL Product including: Questionnaire Setup for allowing the designation of persons to respond to questions to provide evaluation data; "Your Questionnaire" for allowing the classroom teacher to provide evaluation data about his or her classroom environment; "Climate Detailed Feedback" and "Climate Summary Feedback" for providing model data based on the evaluation data to the classroom teacher; "Exploring and Choosing Actions" for allowing the classroom teacher to select environmental dimensions for improvement by an action plan"; and "Your Personal Action Planner" for providing an action plan to a classroom teacher and monitoring the teacher's progress)
 - Exhibit B, Screen Shots 4-8 (showing TL Product providing model data to a classroom teacher based on evaluation data received from the classroom teacher, students and national data representing one or more dimensions of a classroom environment (i.e., "Participation"))
 - Exhibit B, Screen Shots 9-10 (showing TL Product providing summary model data for multiple classroom dimensions)
 - Exhibit B, Screen Shots 11-13 (showing TL Product allowing selection of one or more climate dimensions to develop via an action plan and displaying individual teaching characteristics associated with individual dimensions)
 - Exhibit B, Screen Shots 14-15 (showing TL Product providing for development of an action plan and providing an action plan to the classroom teacher)
 - Exhibit B, Screen Shot 16 (showing, in head teacher embodiment of TL Product, chart explaining relationship of various leadership styles to the dimensions of a school environment)
 - Exhibit B, Screen Shots 17-21 (showing TL Product providing model data to a head teacher based on evaluation data received from the employees, the head teacher and national data representing one or more dimensions of a school environment (i.e.,

9

PH1\1401458.1

"Responsibility"))

- Exhibit B, Screen Shots 22-24 (showing TL Product illustrating six different leadership styles for the head teacher, the effect of a particular leadership style (i.e., "Affiliative") on a selected climate dimension (i.e., "Standards") and the frequency of use of that leadership style by the head teacher)
- Exhibit B, Screen Shot 25 (showing TL Product presenting to the head teacher a summary of the relative value of each leadership style in developing the selected climate dimensions and receiving from the head teacher at least one leadership style for development via an action plan)
- Exhibit B, Screen Shot 26 (showing TL Product explaining in part the presentation of model data based on evaluation data)
- Exhibit B, Screen Shot 27 (showing an example from the TL Product of a portion of a student questionnaire eliciting evaluation data)
- Exhibit C, FIG. 2 (developed from screen shots of the TL Product showing main functional modules of the TL Product including: Questionnaire Setup for allowing the designation of persons to respond to questions to provide evaluation data; "Your Questionnaire" for allowing the classroom teacher to provide evaluation data about his or her classroom environment; "Climate Detailed Feedback" and "Climate Summary Feedback" for providing model data based on the evaluation data to the classroom teacher; "Exploring and Choosing Actions" for allowing the classroom teacher to select environmental dimensions for improvement by an action plan"; and "Your Personal Action Planner" for providing an action plan to a classroom teacher and monitoring the teacher's progress)
- Exhibit C, FIGS. 3A-3E and 4A-4E (developed from screen shots from the TL Product showing model data like that shown in Exhibit B, Screen Shots 4-8 only using different sample data and for climate dimension "Fairness" and Clarity")
- Exhibit C, FIGS. 5-6 (developed from screen shots from the TL Product showing providing summary model data for multiple classroom dimensions, like Exhibit B, Screen Shots 9-10 only using different sample data)
- Exhibit C, FIGS. 9-10 (developed from screen shots from the TL Product showing TL Product allowing selection of one or more climate dimensions (i.e., "Clarity" and "Standards") to develop via an action plan and displaying individual teaching characteristics associated with individual dimensions, like Exhibit B, Screen Shots 11-13)
- Exhibit C, FIG. 11 (developed from screen shot from the TL Product showing an example from the TL Product of a portion of a student questionnaire eliciting evaluation data, like Exhibit B, Screen Shot 27)

PH1\1401458.1 10

- Exhibit C, FIG. 12 (developed from screen shot from the TL Product showing main functional modules of the head teacher embodiment of the TL Product)
- Exhibit C, FIG. 13 (showing the same screen shot as shown in Exhibit B, Screen Shot 16, which shows, in head teacher embodiment of TL Product, chart explaining relationship of various leadership styles to the dimensions of a school environment)
- Exhibit_C, FIGS. 14A-14E (showing TL Product providing model data to a head teacher based on evaluation data received from the employees, the head teacher and national data representing one or more dimensions of a school environment, like Exhibit B, Screen Shots 17-21 only using different sample data)
- Exhibit C, FIGS. 15A-15C (showing TL Product illustrating six different leadership styles for the head teacher, the effect of a particular leadership style (i.e., "Democratic") on a selected climate dimension (i.e., "Team Commitment") and the frequency of use of that leadership style by the head teacher, like Exhibit B, Screen Shots 22-24, only with a different dimension and characteristic selected)
- Exhibit C, Screen Shot 15D (showing TL Product presenting to the head teacher a summary of the relative value of each leadership style in developing the selected climate dimensions and receiving from the head teacher at least one leadership style for development via an action plan, like Exhibit B, Screen Shot 25 only with different group of dimensions selected)

PH1\1401458.1 11

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated: 26/01/05	MC M Michael Von der Geest
Dated:	Katharine Fiona Nisbet
Dated:	Russell Hobby
Dated:	Rebecca Helen Johnson
Dated:	Stephen Lams

	TRANSACTION	REPORT	•	JAN-31-2005	MON 09:02 A
FOR:	_				
DATE START RECEIVER	TX TIME	PAGES	TYPE	NOTE	M# D
JAN-31 09:01 AM 121597810	20 *****	0	SEND	BUSY	230
		TOTAL	. :	OS PAGES:	0

Attn: Joseph Powers

215 979 1020

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated:	Michael Von der Geest
Dated: 31 January 2005	Katharine Fiona Nisbet
Dated:	Russell Hobby

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated:	
	Michael Von der Geest
Dated:	Katharine Fiona Nisbet
Dated: 20/1/05	Russell Hobby
Dated:	Russen Hoody
	Rebecca Helen Johnson
Dated:	Stephen Lams

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated:	Michael Von der Geest
Dated:	Katharine Fiona Nisbet
Dated:	Russell Hobby
Dated: 26/01/05	Rebeco Helen Johnson
Dated:	Stephen Lams

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, Section 1001, and that such willful false statements may jeopardize the validity of the above-identified application or any patent issuing thereon.

Dated:	<u> </u>	
,		Michael Von der Geest
Dated:		
	The state of the s	Katharine Fiona Nisbet
Dated:		
		Russell Hobby
Dated:		
		Rebecca Helen Johnson
Dated:	21/1/05	Stephen Lams

Exhibit A: Sample Invoices for Subscriptions to Transforming Learning Website dated January and February 2001 (with bank account and cost details redacted)

Exhibit B: Screen Shots from January 2001 Version

Exhibit C: Figures from U.S. Patent Application Serial No. 10/016,905

METHOD AND SYSTEM FOR DEVELOPING TEACHING AND LEADERSHIP CHARACTERISTICS AND SKILLS

U.S. Patent Application Serial No. 10/016,905

₹

Filed December 14, 2001

Attorney Docket No. D4701-00198

Exhibit A

33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Trevor Sandford

Invoice

960-4

Kent County Council Corporate Services Sessions House Maidstone

Account No.

011-0975001

Date/Tax Point

31-January-2001

Kent, ME14 1XR

P.O. Ref.

	Description				
Subscription to T	ransformingLearnin	g		contilio	s
Subscription to T	ransforming Learnir	ng for Primary	schools - Year 1 of 1		
			Fotal		
Code % S 17.50 E 0.00 Z 0.00	Amount 0.00 0.00	VAT	Total VAT		

Sugaracon dicasa de capal a desende

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.: 1 Sort Code:

12-01-03



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Bruche County Junior School Seymour Drive Padgate

Warrington Cheshire, WA1 3TT

Con Harkin

Invoice

P.O. Ref.

960-7

Account No.

011-0976001

VAT

Rate

s

Date/Tax Point

31-January-2001

Amount

Description
Subscription to TransformingLearning

Subscription to Transforming Learning for 5 schools - Bruche, Broomfields, Bewsey Lodge, Padgate and St. Matthew's. Year 1 of 2. Total VAT Summary Total VAT Code % Amount VAT | S 17.50 Ε 0.00 0.00 0.00 0.00 0.00 0.00 | Total Payable

2013年10年10年10年10日本東京東京共享日本

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name: The Hay Group Management Limited

A/c No.:



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

The state of the s

Mr John Manning

Invoice

960-9

Egerton Primary School

Bexton Road

Knutsford

Cheshire, WA16 0EE

Account No.

011-0977001

Date/Tax Point P.O. Ref.

31-January-2001

		C	escription		Amount	VAT Rate
Subscr	iption to Tr	ansformingLearning	3			 S
Subscr	iption to Tr	ansforming Learnin	g for Year 1	of 2.		
				Total		
Code	۷ %	/AT Summary Amount	VAT	Total VAT		
S E Z	17.50 0.00	0.00	0.00			
Z	0.00	0.00		Total Payable	CAMPIS	

Stationary Correction For Short Correction

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.:



33 Grosvenor Place London SW1X7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Mike McLaughlin

Invoice

960-10

Peover School Stocks Lane Over Peover Knutsford

Cheshire, WA16 8TU

Account No.

011-0976001

Date/Tax Point

31-January-2001

P.O. Ref.

			Description		Amount	VAT Rate
Subsa	riation to Tr	anoforminal comin				
Subsc	ripuon to Tr	ansformingLearnin	g			S
Subsci	ription to Tr	ansforming Learnir	ng for Year 1	of 2.		
	•	ū			İ	
······································				Total		
	V	/AT Summary		l Total VAT	Alle	
Code	%	Amount	VAT			
S E Z	17.50 0.00	0.00	0.00			
Z	0.00	0.00		Total Payable		

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

EC2P 2EH A/c Name:

London

Bank transfers to:

Bank of Scotland

38 Threadneedle Street

The Hay Group Management Limited

Registered in England & Wales No.763575

A/c No.:



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Mr FGF Howard

Invoice

960-16

Yateley Manor School Reading Road Account No.

011-0986001

Yateley Hampshire, GU46 7UQ Date/Tax Point P.O. Ref.

13-February-2001

	-		Description		Amount	VAT Rate
Subscr	ription to Tr	ansformingLearnir	g		Attorio	S
Subscr	iption to Tr	ansforming Learni	ng. Year 1 of	1.		
 				Total		-
		(AT C)				
Code	%	AT Summary Amount	VAT	Total VAT 		
S E Z	17.50 0.00 0.00	0.00 0.00	0.00	 Total Payable	449mm	

SKECHKOWKKO E POWER DURGOWE BERNOÙ

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.:



33 Grosvenor Place London SW1X7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Ms Lyn Fryer

Invoice

960-18

Worthing High School South Farm Road

Account No.

011-0989001

Worthing

Date/Tax Point P.O. Ref.

13-February-2001

West Sussex, BN14 7AR

		22	Description		Amount	VAT Rate
Subscr	iption to Tra	ansformingLearning	g		delita	S
Subscr	ription to Tra	ansforming Learnir	ng. Year 1 of	1.		
	· .			Total		
		'AT Summary		Total VAT	A	
Code S	% 17.50	Amount	VAT			
S E Z	0:00 0.00	0.00 0.00	0.00 0.00	Total Payable	(hyt Chyt	

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.:

Sort Code: 12-01-03



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Mr R Eastthorpe

Invoice

960-19

Cheam Common Junior School

Account No.

011-0982001

Kingsmead Avenue

Date/Tax Point

13-February-2001

Worcester Park Surrey, KT4 8UT

P.O. Ref.

		Description		Amount	VAT Rate
Subscription to Ti	ransformingLearnin	ıg			S
Subscription to Ti	ransforming Learnii	ng. Year 1 of	1.		
			Total		
,	VAT Summary		Total VAT	/Man	
 Code % S 17.50	Amount	VAT			
S 17.50 E 0.00 Z 0.00	0.00 0.00	0.00	Total Payable	(Antibidity)	

Santang in/order/Parlegedakeares

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.:



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Mr Eric Dawson

Invoice

960-20

Sir William Romneys School

Lowfield Road

Tetbury

Gloucestershire, GL8 8AE

Account No.

011-0990001

Date/Tax Point P.O. Ref.

13-February-2001

[[]		Amount	VAT Rate			
Subsci	ription to Tr		S			
Subscr	ription to Tr	ansforming Learni	ng. Year 1 o	f 1 .		
	· · · · · · · · · · · · · · · · · · ·			Total	40400	
	V	'AT Summary		 Total VAT		
Code S E Z	% 17.50 0.00 0.00	Amount 0.00 0.00	VAT 100065 0.00	Total Payable		

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.: Sort Code:

12-01-03



33 Grosvenor Place London SW1X 7HG

Tel: 020 7856 7000 Fax: 020 7856 7100

Ms Susan Goodman

Invoice

960-22

Saltdean Primary School

Chictington Way

Saltdean

Brighton

Sussex, BN2 8HB

Account No.

011-0992001

Date/Tax Point

13-February-2001

P.O. Ref.

		Amount	VAT Rate			
 Subsci	ription to Tr		s			
Subsc	ription to Tr	ransforming Learni	ng. Year 1 of	1.		
				Total	4700000	
		/AT Summary		! Total VAT	4000	
Code	% 17.50	Amount	VAT			
is ie z	0.00 0.00	0.00 0.00	0.00	Total Payable	- AND THE PROPERTY OF	

Speken Sandalos Padables de Chire e os pe

Please remit payment to: The Hay Group Management Limited 33 Grosvenor Place London SW1X 7HG

VAT Registration No. GB 497 6415 95

Registered in England & Wales No.763575

Bank transfers to: Bank of Scotland 38 Threadneedle Street London

EC2P 2EH

A/c Name:

The Hay Group Management Limited

A/c No.: Sort Code:

12-01-03



METHOD AND SYSTEM FOR DEVELOPING TEACHING AND LEADERSHIP CHARACTERISTICS AND SKILLS

U.S. Patent Application Serial No. 10/016,905

Filed December 14, 2001

Attorney Docket No. D4701-00198

Exhibit B



Welcome to TRANSFORMING LEARNING

Registered Users of Transforming Learning: To enser the registered part of the site, click the reservant button below

· Transforming





For a preview of Transforming Learning click here:

Preview more

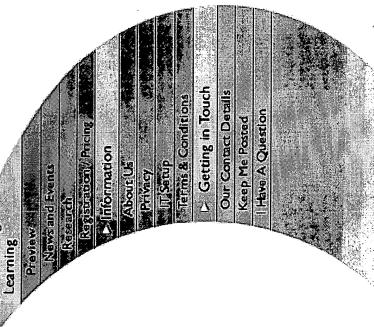
Latest News

Are You Doing Better Than You Think You Are?
The pupils of 86% of primary school teachers find their lessons clearer and more interesting

than their teacher expected.

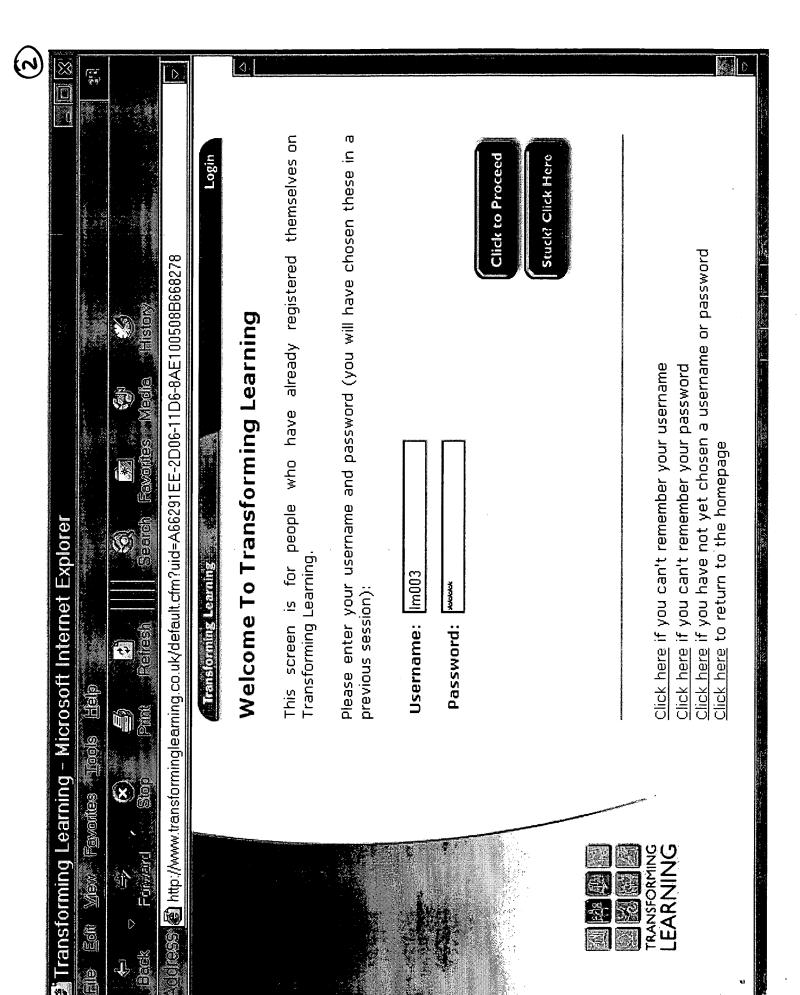
In the current climate of inspection and evaluation, the suggestion that pupil's views should be taken into account may seem just another burden. Our recent analysis of Transforming Learning data suggests that pupils' views are generally a positive and insightful contribution for teachers' professional development.

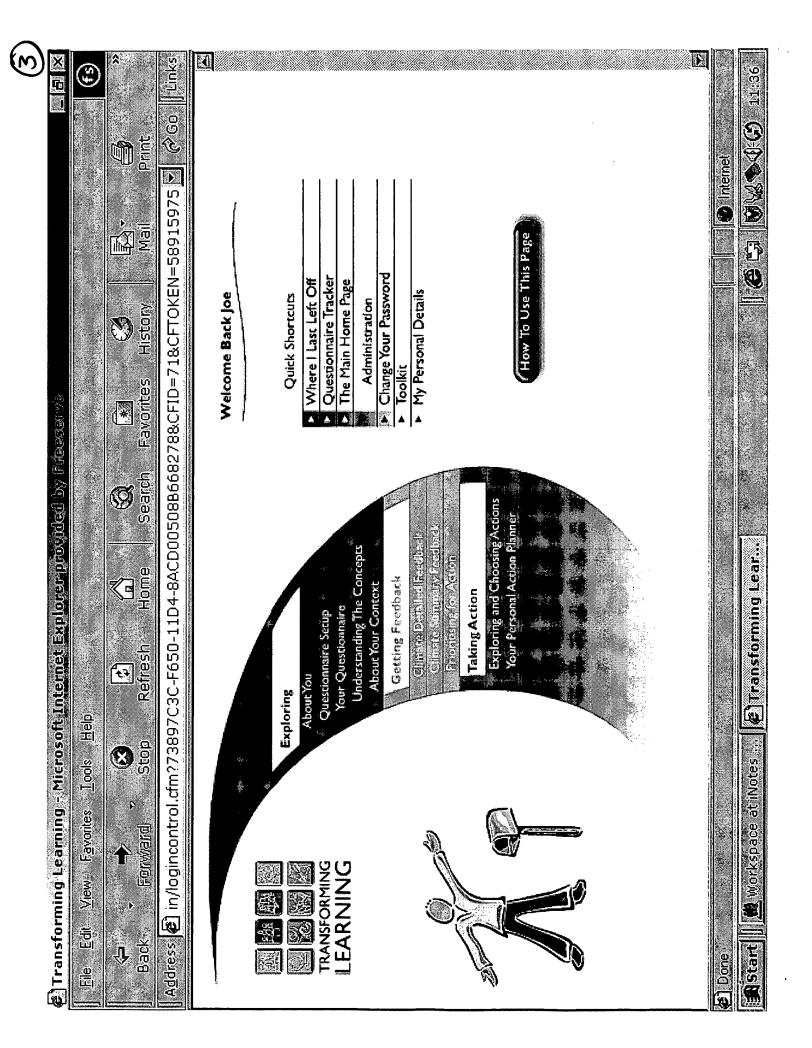
Click on 'more' to view

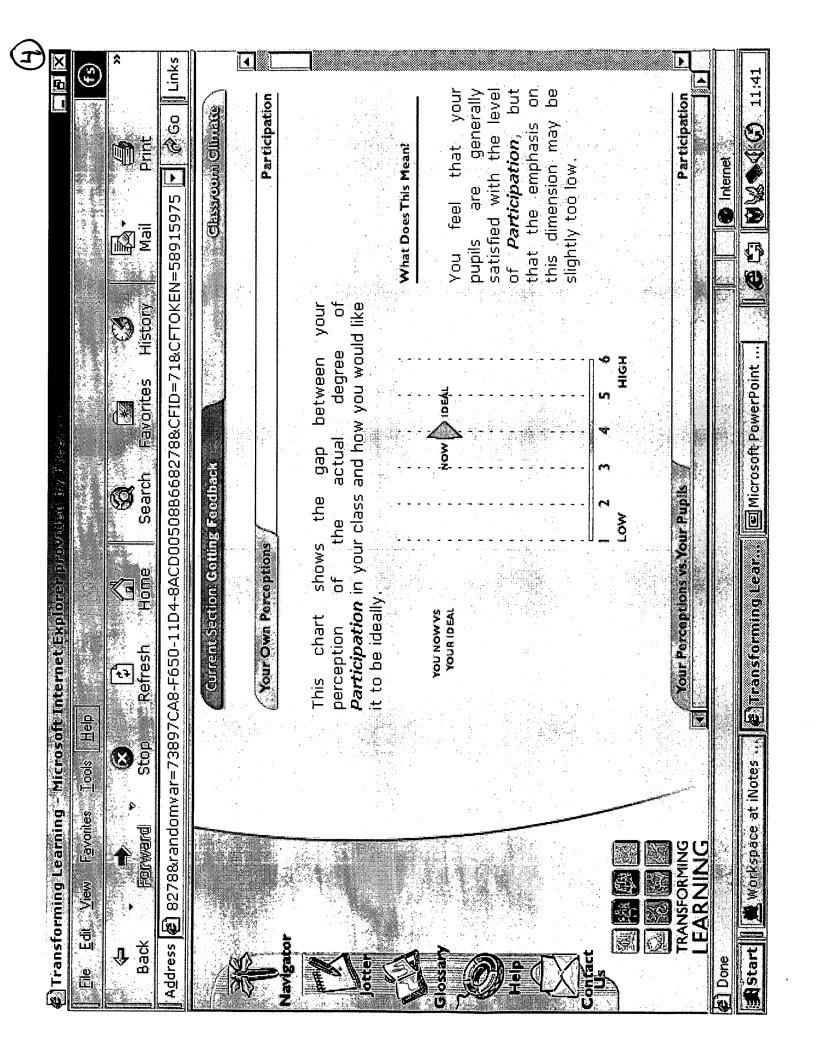


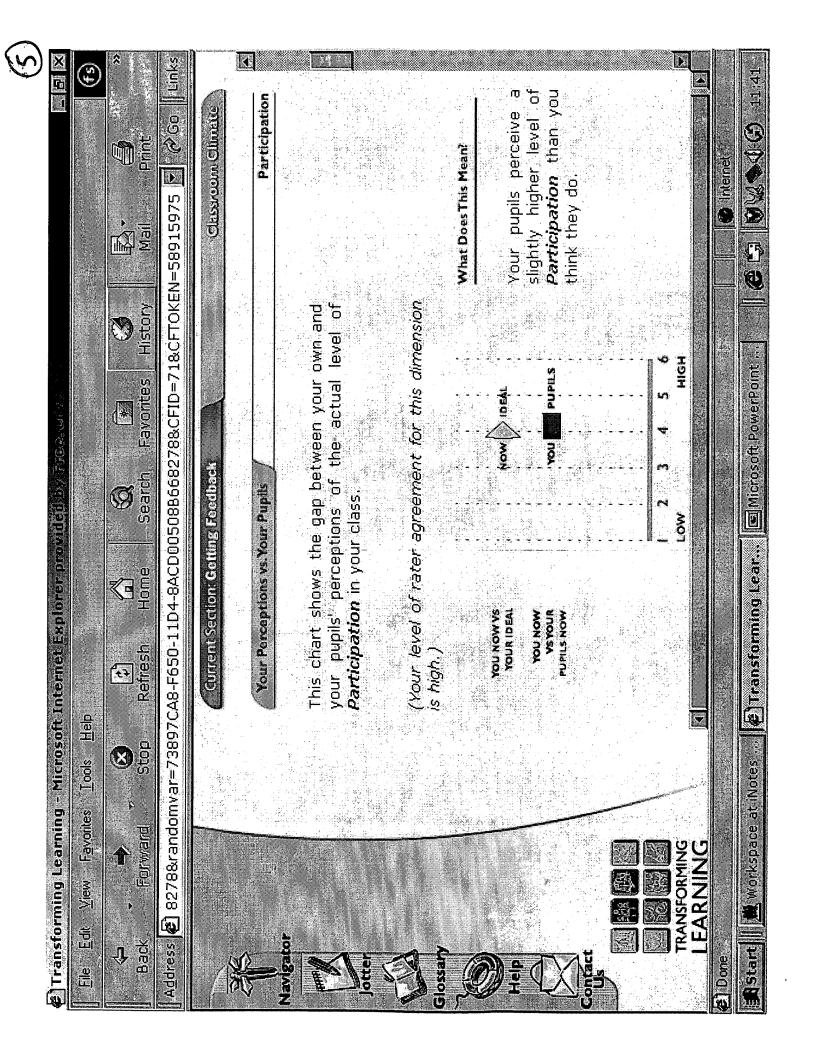
TRANSFORMING LEARNING "If you kept it to yourself, the school wouldn't know where to progress, what to change and what to keep." - Jessica, 11

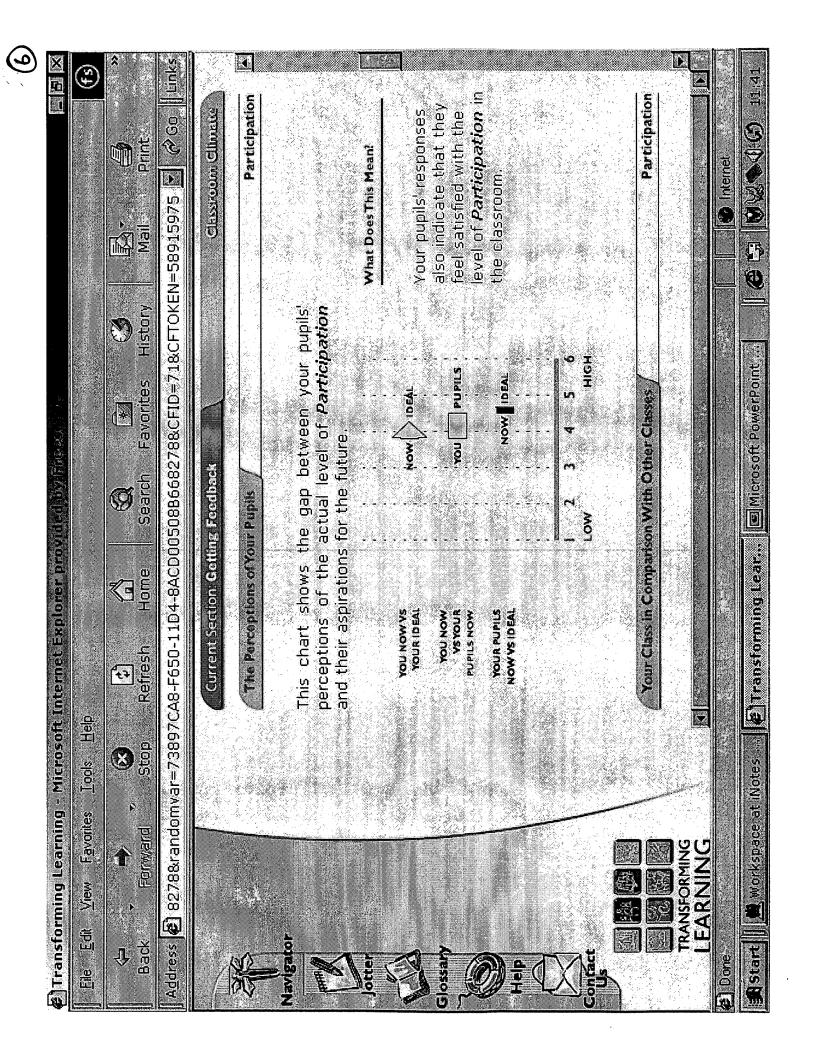
Copyright © 2001 Privacy and Security

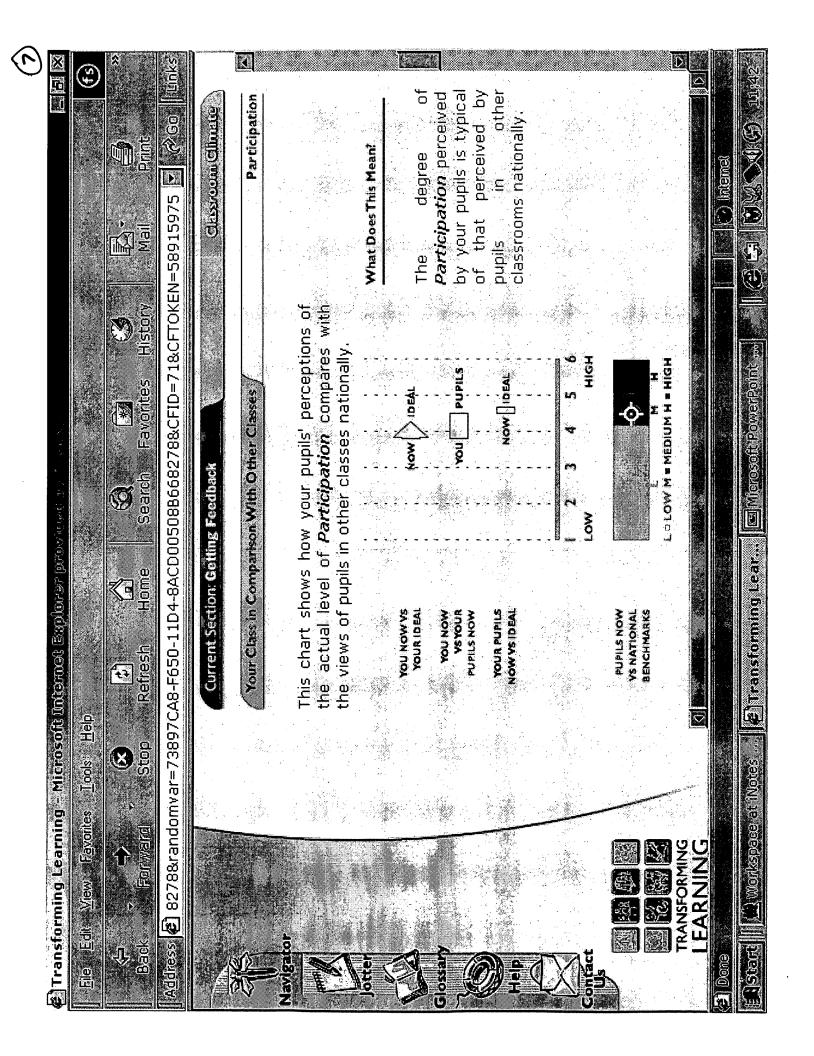












focusing on meeting the aspirations of your pupils As a reminder, the most significant finding in your Your results indicate you would benefit most by about the level of Participation in your classroom. feedback is highlighted below.

DEAL Ş **₹**OZ PUPILS 3oz YOUR PUPILS YOU NOW YS YOUR IDEAL VSYOUR PUPILS NOW NOW VS IDEAL **MON NOA**

What Does This Mean?

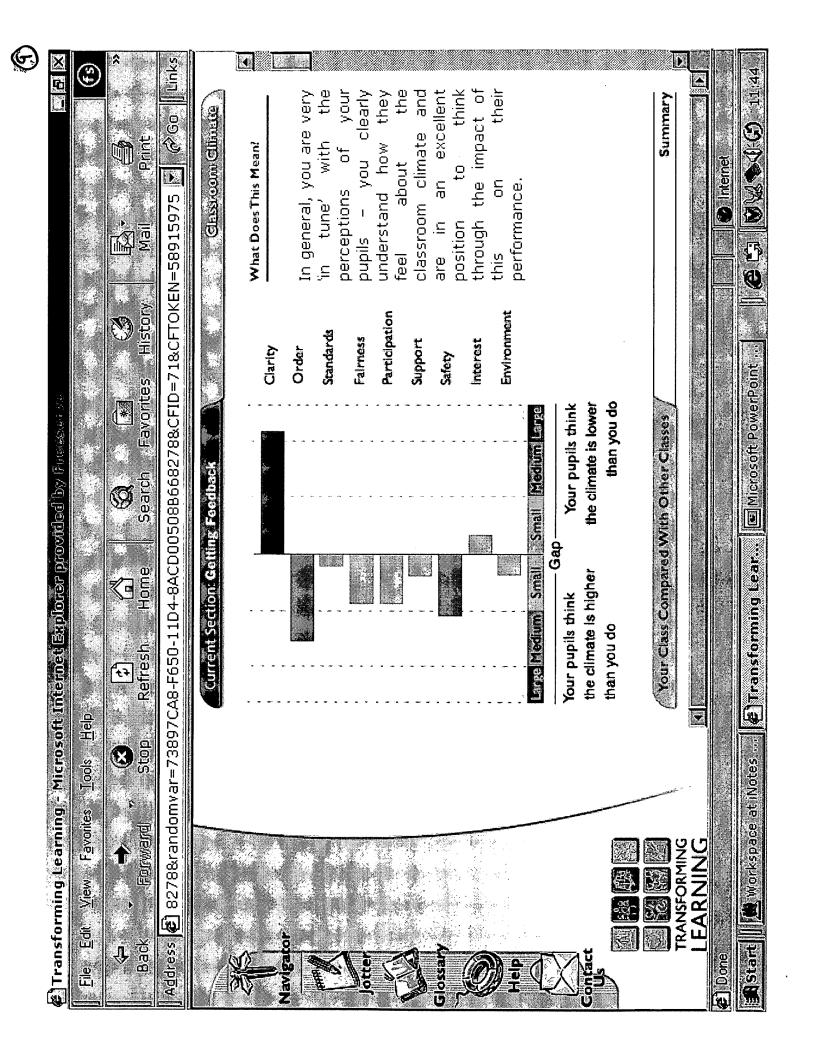
the responses little more that they Participation in also indicate would like a Your pupils! classroom.

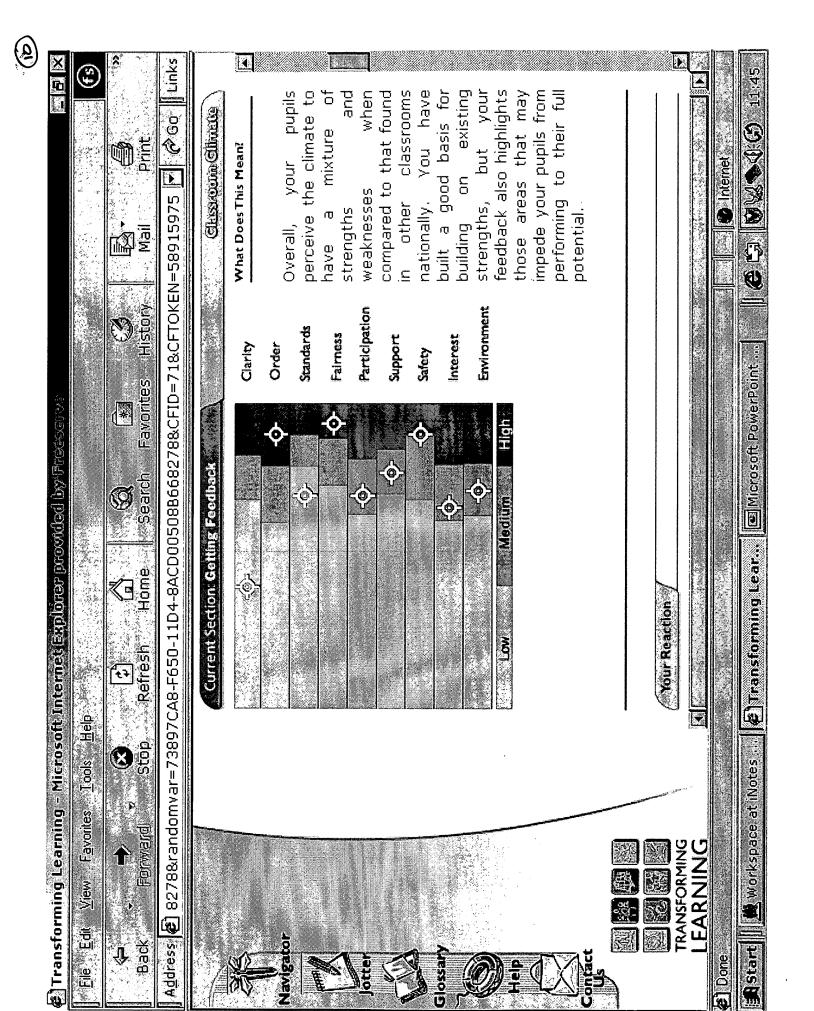
HOW OTHER CLASSES SCORE

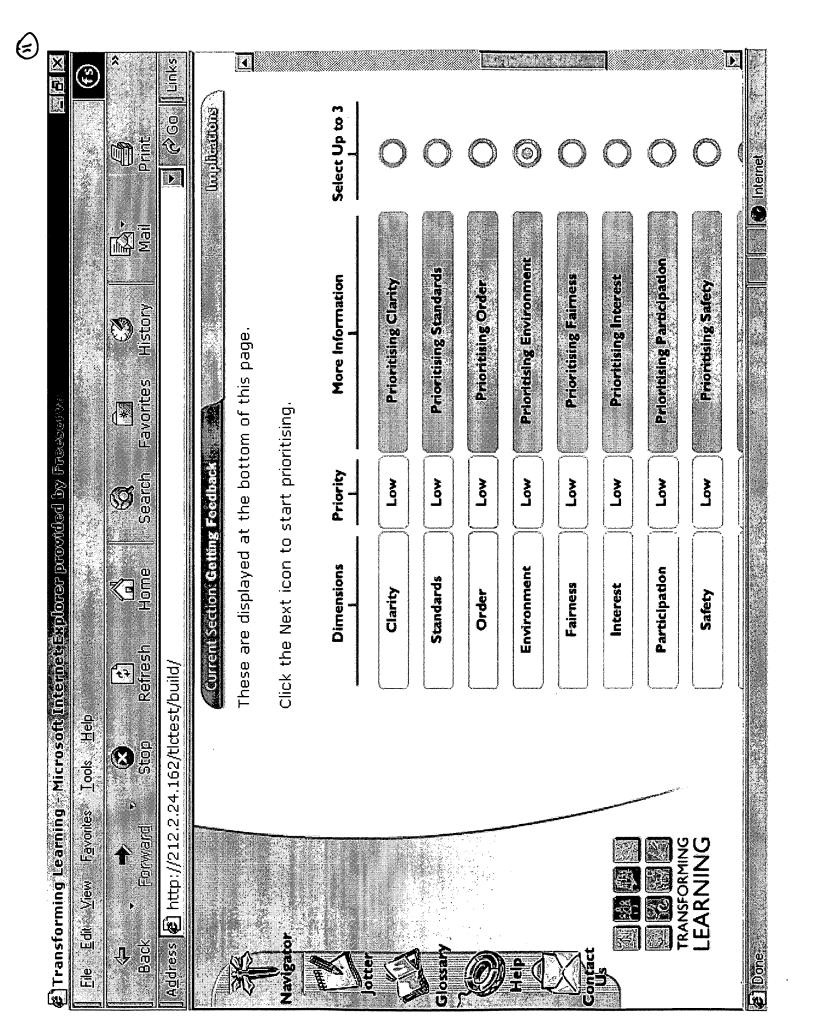


I U I

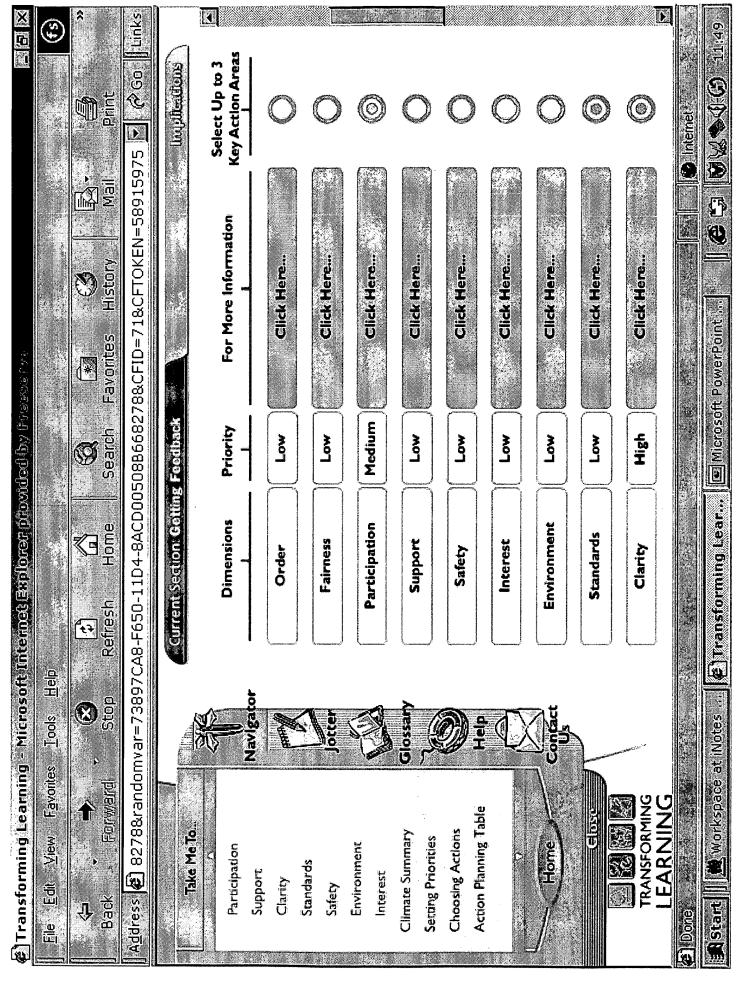
≥ 0 1

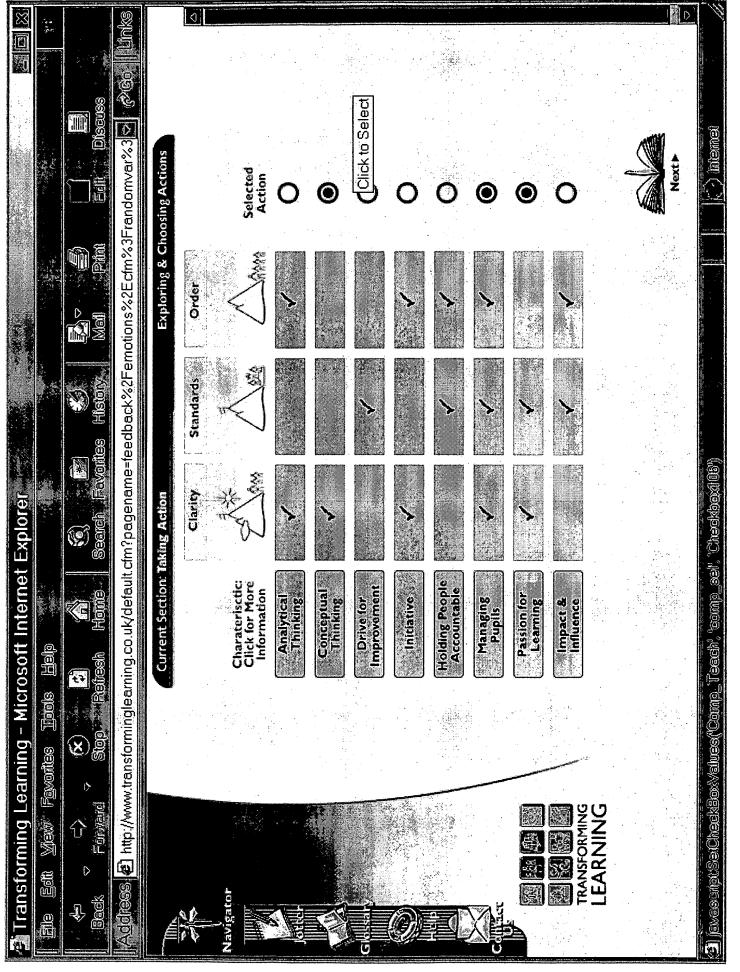




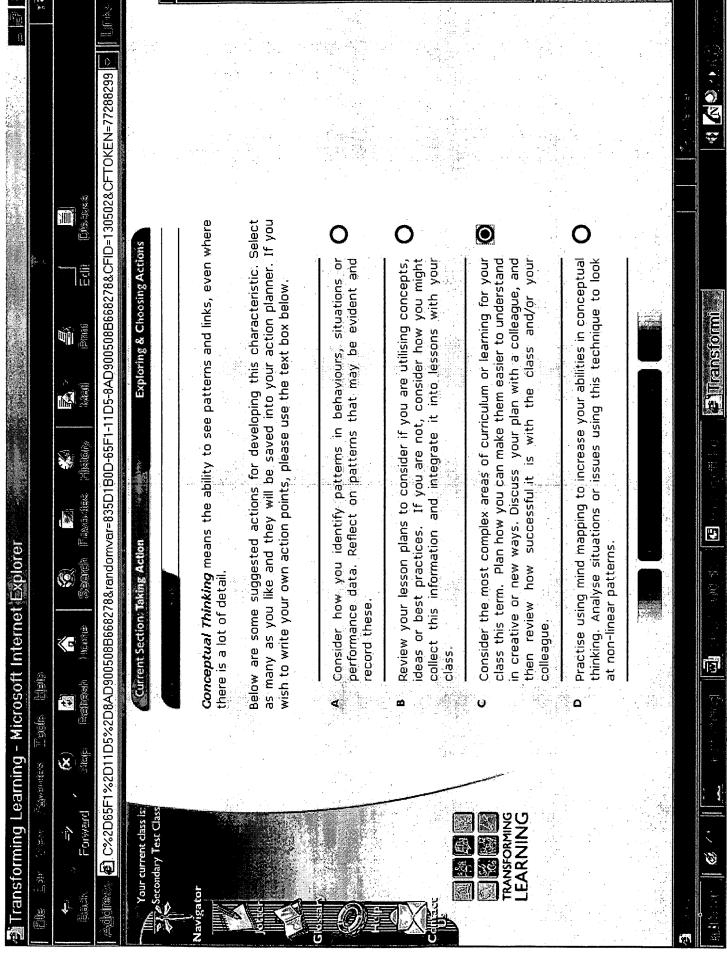




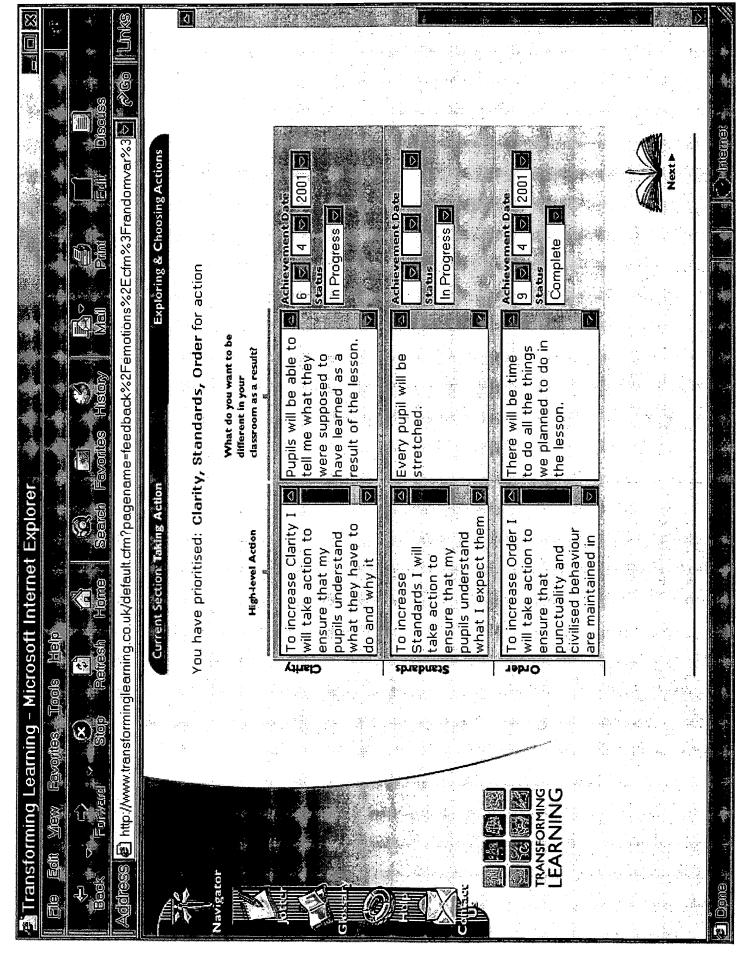


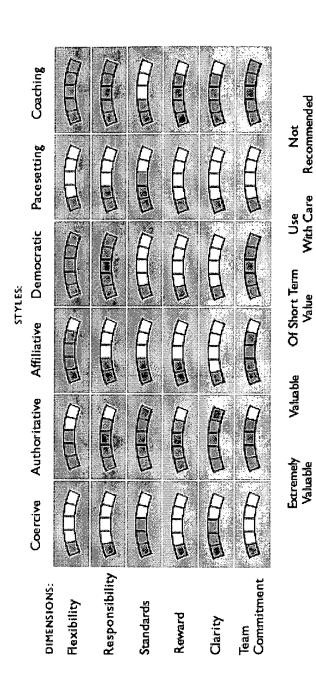












回國

This chart shows the gap between your perception of the actual level of **Responsibility** in the school and how you would like it to be ideally.

What Does This Mean?

You feel that levels of Responsibility in the school are appropriate.

	エウエ				Ľo≨	النسد
<u></u>	Ð	n	Ţ	M	N	*******
	-	:=	- !	-		- 111
	-	-	•	~	-	~
	•		-	•		-
	-	***	:==·.	-	•	-
	•	•	-	•		~
		-24	.01	g war		•••
	-	**	-	•	•	•
	•		-	-	-	_
	•	-	-	-	•	•
	-		•	~		
	•			•••		***
		~	***	~	~=	****
	•	•	-		-	
	2 88 2	Į	0- 2 - 8	<u>}</u> -	1986	MBA)
	-	-	Section 15 th	-6	-	-
	•	•	•	***	-	•
	-	-	-	-	-	•
	•	-	-	~	-	~

YOU NOW YS

your colleagues' perceptions of the actual level of This chart shows the gap between your own and Responsibility in the school. (The level of agreement for this dimension is

YOU NOW YS YOUR IDEAL

WS YOUR WON LOY

COLLEAGUES NOW

JUI

¥07

Click here for colleague agreement explanation

What Does This Mean?

j the your Your There is no difference colleagues' perceptions. Responsibility in and perception between school

The Perceptions of Your Colleagues

This chart shows the gap between your colleagues' perception of the actual level of Responsibility in the school and their aspirations This chart shows the for the future.

-	-	-	•	-		٠.	-	•	-	-	*	-	2)46	-	-	•••	-	-	9
-	-	-	-	-	-	-			EAGUE	 - .	•	-	200			•	-		W)
-		•	•	4	1	-	2788	 r	8	-		-		<u>e</u>	I -		-		**
•	-	-	•	74.7		₩	•		2			•	- reg	≩ 0 2	-		•	/ =	M
-	-	•	•	-				19866	-	-	-	-		-			-	-	M
-	*	-		-			****	· mare	-	-	_	-	:••	:•	···		-	-	Garria

YOU NOW YE YOUR

SA MON NOA YOUR IDEAL COLLEAGUES NOW

COLLEAGUES NOW

COLLEAGUESIDEAL

I

10%

What Does This Mean?

suggest they would like slightly satisfied, the responses of your colleagues Responsibility in the generally Although future.



This chart shows how your colleagues' perceptions of the actual level of Responsibility compares to how other teams tend to score.

YOU NOW VS YOU NOW VS YOUR VS YOUR VS YOUR XOLLEAGUES OLLEAGUES NOW VS VS NOW IDEAL NO IDEAL		•	-	-	-	-	-
		-	•••	~***	-	~	-
2		-	•	-	-	-	-
	YOU NOW YS				- 4		
	YOUR IDEAL	-	•	} Ö- Z	3	, 	
				aa. ~~		w vw	
	YOU NOW	-	-		_	- willow	
	VS YOUR	•	-	70%	נסור	EAGUES	-
NOW	XOLLEAGUES NOW						
MOM	COLLEAGUES NOW		- ~	* ~	- [* **	• -
OLLEAGUES (DEAL	*			Ş Q Z		EAL	
	COLLEAGUES IDEAL	_	-	-]-	•	•
				1989s. se		.anv w	
		<u> </u>	~	c	ঘ	ır	, 4

ōţ

level

The

Responsibility

What Does This Mean?

your

à

perceived

colleagues is typical of that found nationally,

HOW OTHER SCHOOLS SCORE



I U I

Z S S

HUH B HEDINH B HICH

Your Key Messages

Responsibility

within the school so that your colleagues' perceptions are high in relation to those found Your results indicate that you would benefit most by focusing on raising the level of Responsibility nationally.

	-	-	•	-	•	-
	•	-	•	-	•	-
	-	-	-	-	•	
	-		•	-	•	-
SA MON TOX	-	-	1	4	•	-
YOUR IDEAL	-	-		5 2		м.
	· • •				··•	
WON UOY						
VS YOUR			20	8	COLLEAGUES	
COLLEAGUES NOW				 - -		<u>.</u>
COLLEAGUES NOW	. •			· •		
\$. ¥		DEAL	
COLLEAGUES IDEAL	-	-]-	-	
		-			-	o de no.
	-	-	+	-	-	-
				-	-	- [
		7	<i>-</i>	খ	Ľ	1 40
	•	Ĭ	,)).

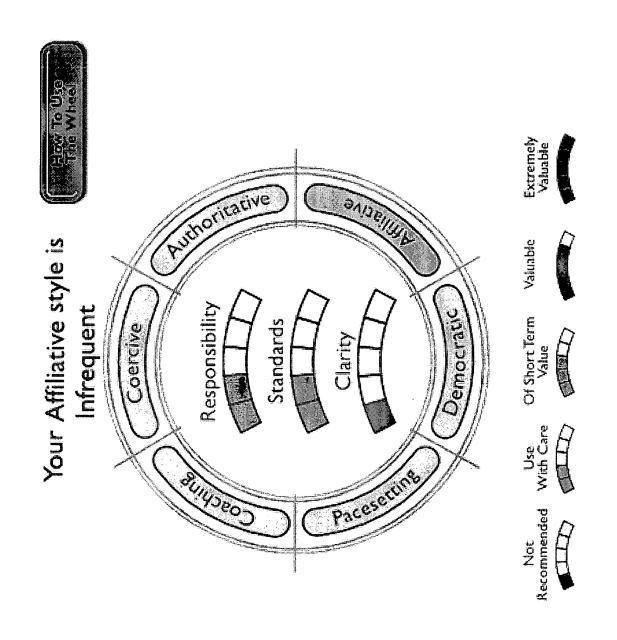
U LOW

SCHOOLS SCORE TO TO SECTION IN THE INCH

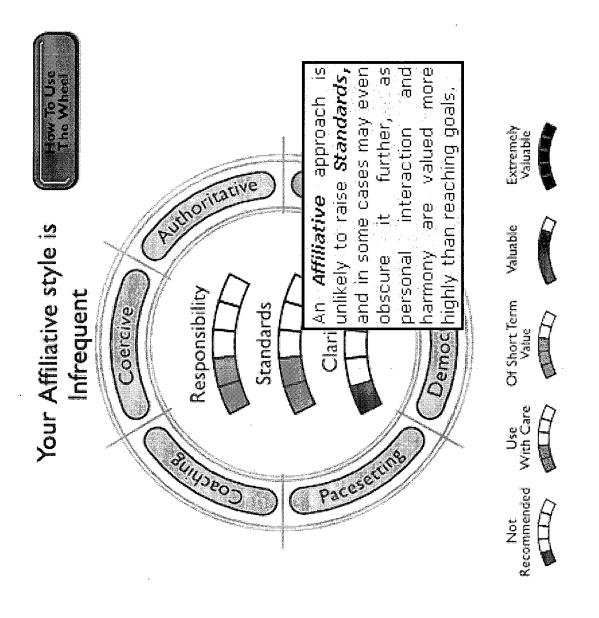
What Does This Mean?

o your colleagues is typical of that found nationally. evel Responsibility perceived The

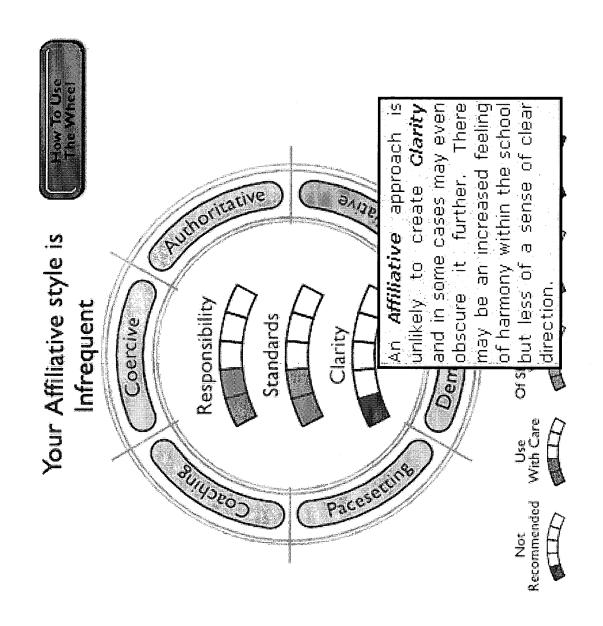




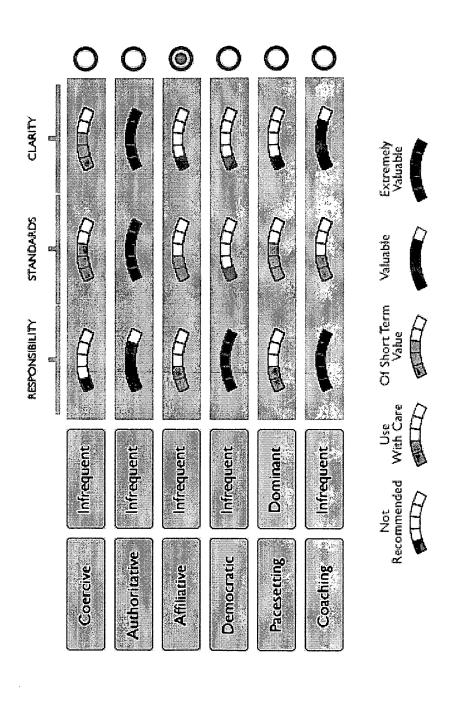
















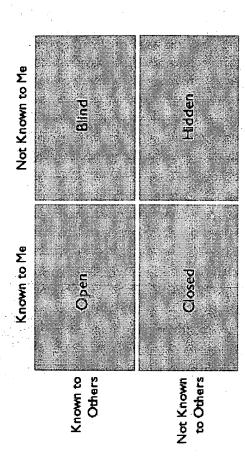
8

。 分



Using Different Sorts of Feedback

You will get meaning from feedback by comparing the new information to what you already think and feel. The better you are prepared for the different sorts of information, the more value you are likely to derive from your feedback. There are four categories of information which you may encounter during feedback. These are shown in the model below:



Open Information - usually easily assimilated, may not add much.

TRANSFORMING EARNING

very comfortable with its familiarity and assimilate it easily, but sometimes This relates to things you already know about yourself. Often, you will be you may feel "found out" because you didn't know others knew this about you, and sometimes it may act as a reminder of things you knew but had forgotten.

Blind Information - often provokes strong feelings, but is often extremely valuab



阉 http://www.transforminglearning.co.uk/default.cfm?pagename=registration%2Fregister%2Ecfm&uid=245D0A52-29FD-11D6-8A| Next • Students in Mrs Robertson's something it's difficult to get NOW OOO Students who behave well FUTURE are not praised OOOOO When I don't understand class stay in their seats when they should how would you like to see this in the future? : Search., Favorites, - Media - History <u>n</u> 0000000 Fransforming Learning - Microsoft Internet Explorer Students who behave well pusts abun indb near Students in Mrs Robertson's are praised something it's easy to get class don't stay in their seats when they should **◆** Undo These Three Questions Rorward Stap * Pint Refresh File Edit Waw Fevorites Tools Help 07 FRANSFORMING - EARNING

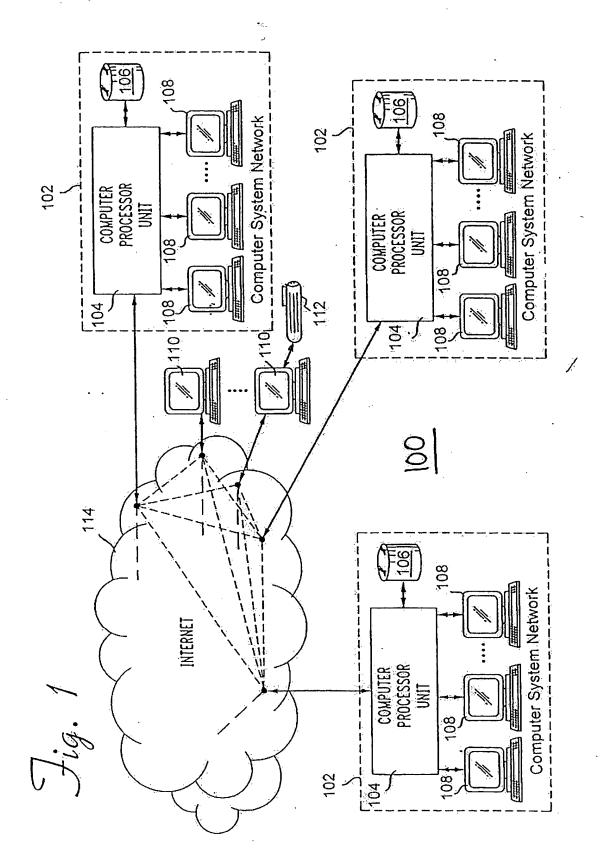
METHOD AND SYSTEM FOR DEVELOPING TEACHING AND LEADERSHIP CHARACTERISTICS AND SKILLS

U.S. Patent Application Serial No. 10/016,905

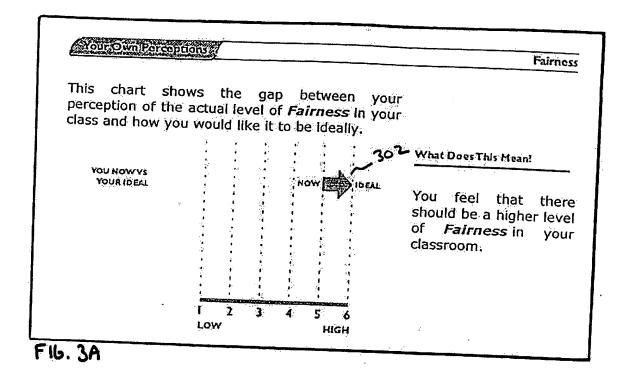
Filed December 14, 2001

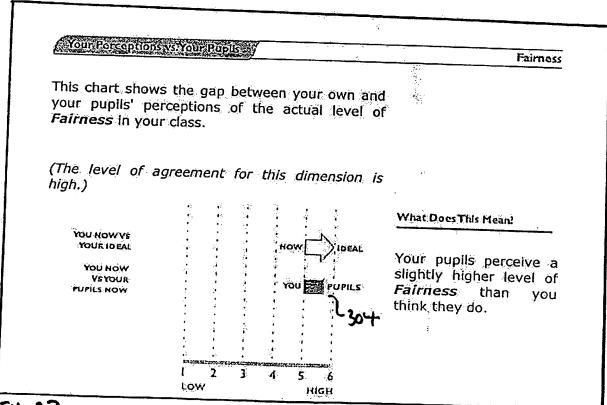
Attorney Docket No. D4701-00198

Exhibit C



F16.2





FIL. 33



Fairness

This chart shows the gap between your pupils'

perceptions of the actual level of *Fairness* and their aspirations for the future.

YOU NOW'S YOUR IDEAL

YOU NOW YS YOUR PUPILS NOW IDEAL

TOUR PUPILS NOW IDEAL

1 2 3 4 5 6

LOW HIGH

What Does This Mean!

Your pupils' responses also indicate that they feel satisfied with the level of **Fairness** in your classroom.

F16.3C

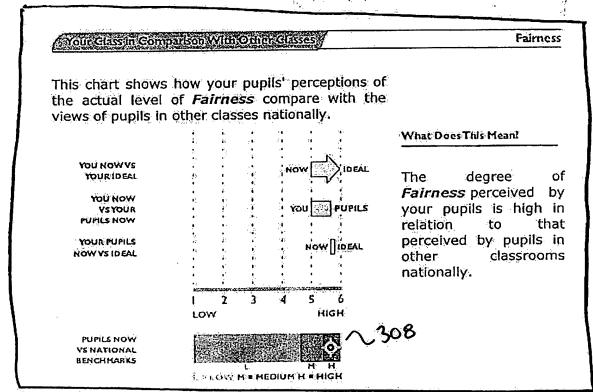
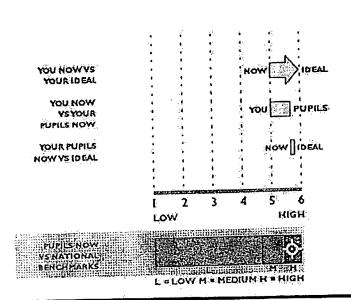


FIG. 30

Your results in relation to *Fairness* are very positive. The challenge for you is to maintain this and use it to stretch pupils in your class even further, whilst working on dimensions which are not so strong or whilst identifying opportunities to improve classroom climate outside this class.

What Does This Mean!

The degree of Fairness perceived by your pupils is high in relation to that perceived by pupils in other classrooms nationally.



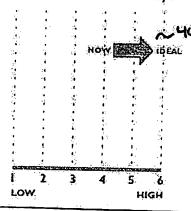
F16. 3E

/ Your Own Parceptions

Clarity

This chart shows the gap between your perception of the actual level of *Clarity* in your class and how you would like it to be ideally.

YOU NOW VS



What Does This Mean!

You feel that there should be a higher level of *Clarity* in your classroom.

Fig. 4A

Your Perceptions vs Your Pupils

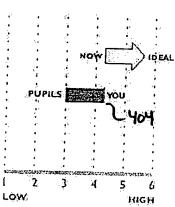
Clarity

This chart shows the gap between your own and your pupils' perceptions of the actual level of *Clarity* in your class.

(The level of agreement for this dimension is high.)

YOU NOW YE

YOU NOW VS YOUR PUPILS NOW



What Does This Mean?

Your pupils perceive a substantially lower level of *Clarity* than you think they do.

F16.4B



Clarity

This chart shows the gap between your pupils!

perceptions of the actual level of *Clarity* and their aspirations for the future.

YOU NOW SOUR PUPILS YOU YOUR PUPILS NOW SOUR PUPILS NOW SOUR PUPILS NOW SOUR PUPILS NOW SOURCE SOURCE SOURCE SOURCE SOURCE SOUR PUPILS NOW SOURCE SOU

LOW

What Does This Mean?

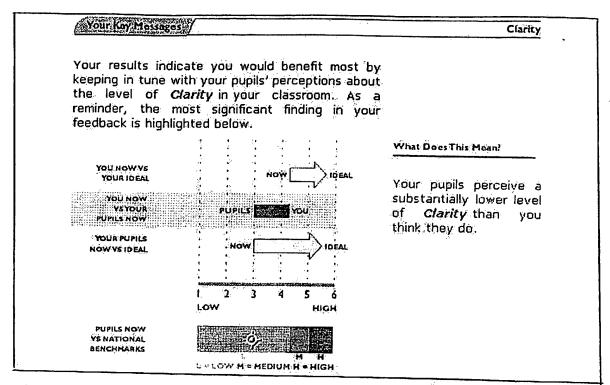
Your pupils' responses also indicate that they feel there is substantial scope for increasing the level of *Clarity* in your classroom.

F16.4C

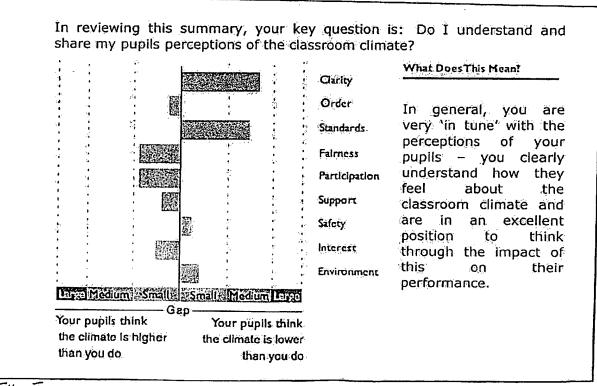
Clarity This chart shows how your pupils' perceptions of the actual level of Clarity compare with the views of pupils in other classes nationally. What Does This Mean! YOU NOW VS YOUR IDEAL The degree of Clarity YOU NOW perceived by your VSYOUR PUPILS pupils is low PUPILS NOW in relation to that YOUR PUPILS perceived by pupils in NOW VS ID EAL other classrooms nationally. 2 LOW HIGH PUPILS NOW VE NATIONAL BENCHMARKS E. V. O.VV. H. W. MEDIUM H. WHIGH

HIGH

F16.40

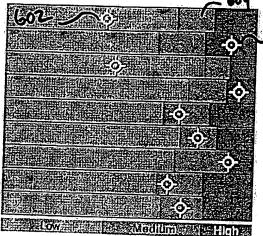


F16.4E



F16.5

In reviewing this summary, your key question is: Is there scope to improve the climate in this class to match or exceed the climate in other classrooms nationally, or would I do better to focus my energies elsewhere?



Clarity 606 Order

Standards

Fairness

Participation:

Support Safety

Interest

Environment

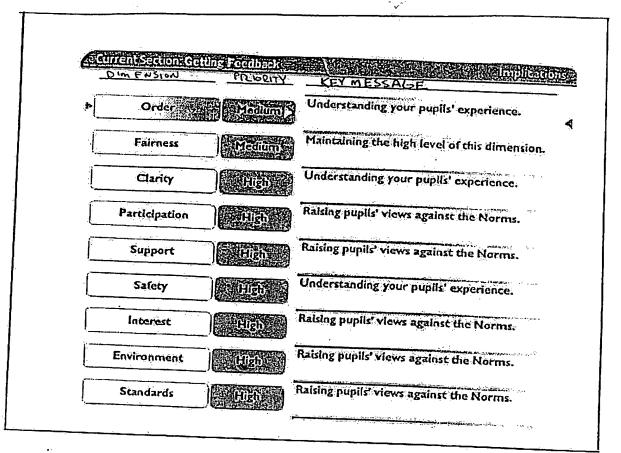
What Does This Mean!

Overall, your pupils perceive the climate to have a mixture of strengths and weaknesses when compared to that found other classrooms nationally. You have created a good basis for building on existing strengths, but your feedback also highlights

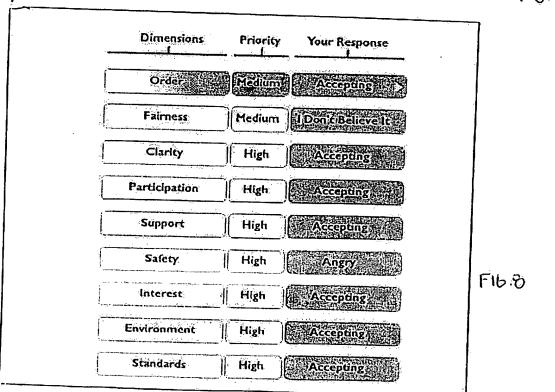
those areas that may impede your pupils from performing to their full potential.

F16.6

ماحها



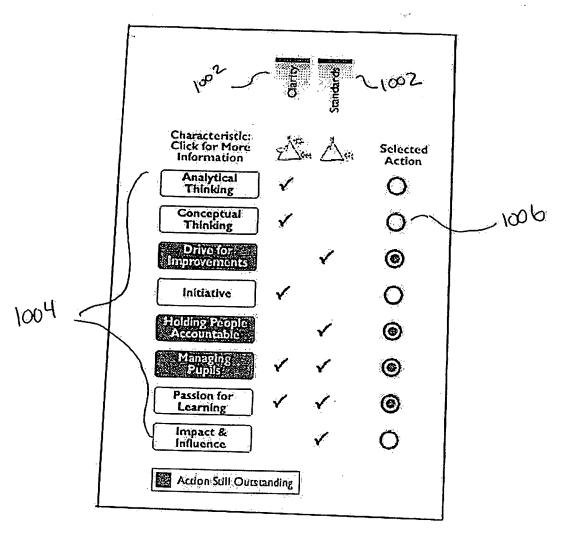
F16.7



Dimensions	Priority	For More Information	Select Up to 3 Key Action Are
11			
Order	Medium	Glick/Here	
Fairness	Medium	GliddHere	
Clarity	High	. ClickHere. 15	0
Participation	High	elidshac-	
Support	High	Glick Here—(L)	O
Salety	High	Glick(HeroLL)	O
Interest	High	· Glick/Hcre	O
Environment	High	s. Glick Hare	0
Standards	High	Glickthere!	9 0

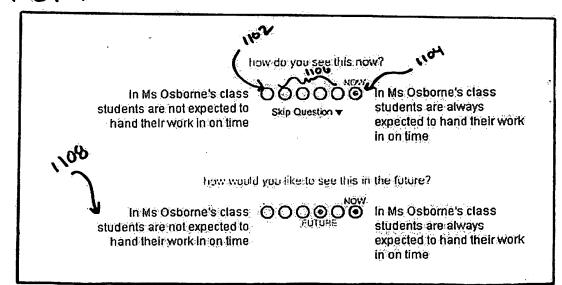
F16.9

200

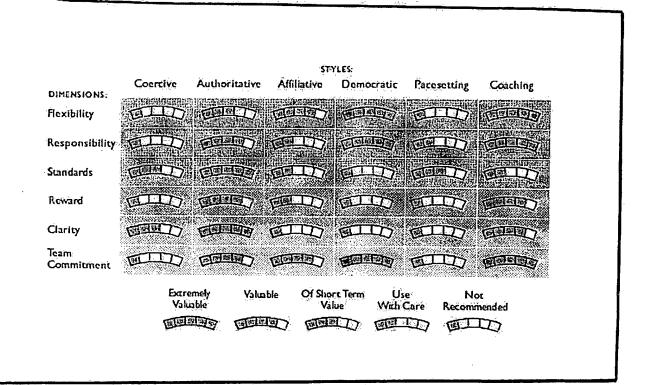


F16.10

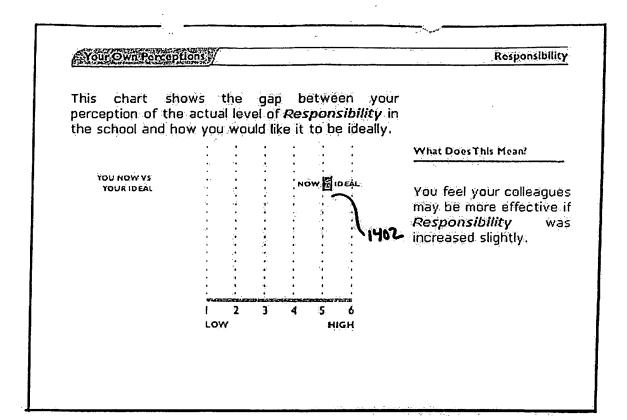
F16. 11



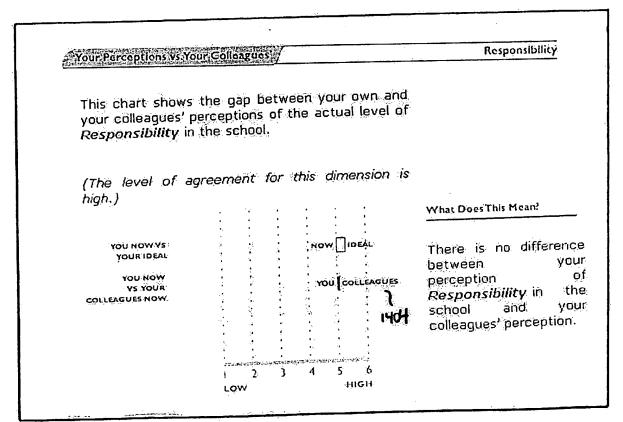
F16.12



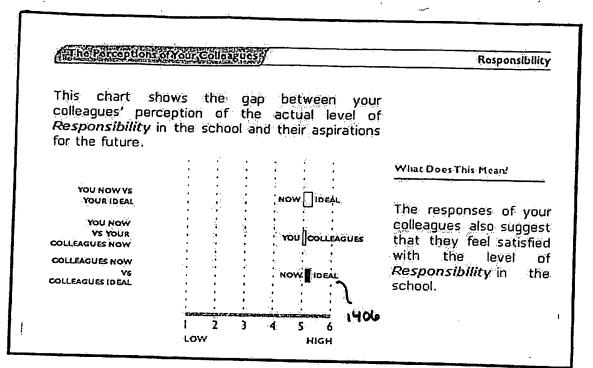
F16.13



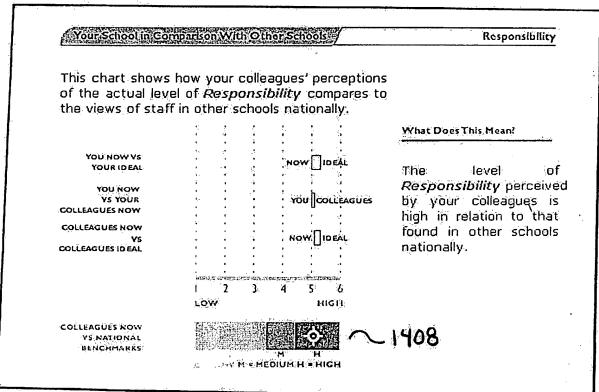
F16. 14A



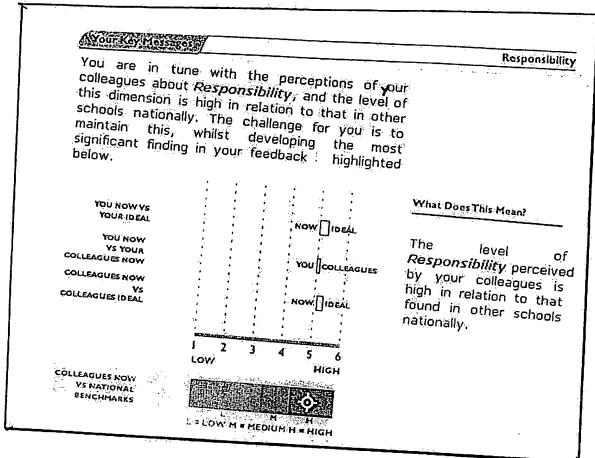
F16. 148



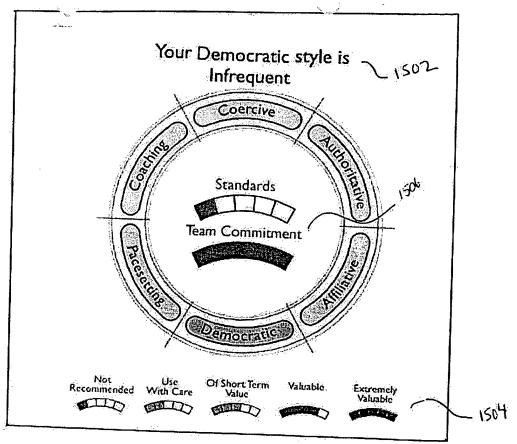
F16.14C



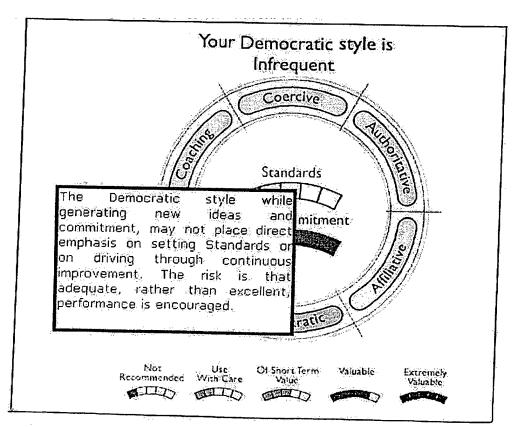
F16.140



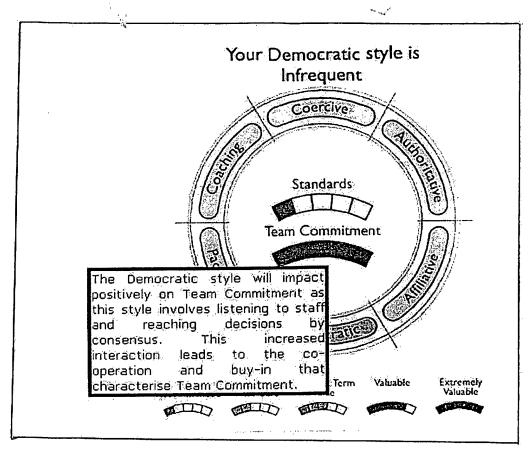
F16. 14E



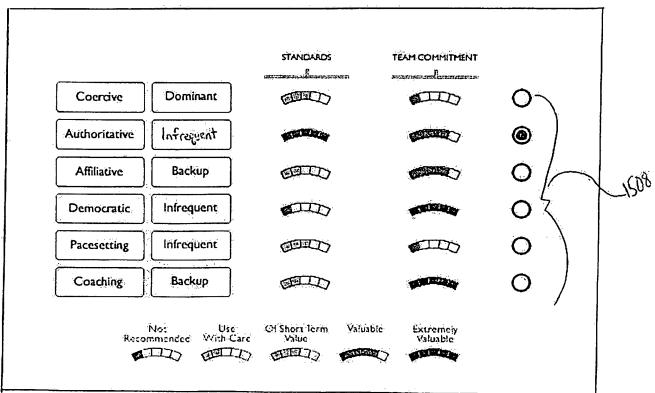
FIL. ISA



F16.15B



FILG. ISC



FIL. ISO

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.